السعودي الفرنسي كابيتال
Saudi Fransi Capital

RISK PROFILE QUESTIONNAIRE

(Please read the questions and tick (√) your answers in the appropriate box. Add up the score at the end to arrive at your Risk Profile):

Q.1: What is your Knowledge/Experience of investment Products and Financial Markets?

a. No knowledge (ex. I have never invested in any Securities before) ☐ **1**

b. Moderate knowledge of investment Products (ex. I have invested in some Mutual Funds and Securities) ☐ **2**

c. Extensive knowledge (ex. I have engaged in a lot of investment in a lot of investment products and I am experienced with Stocks and other sophisticated investment products) ☐ **3**

Q.2: What is your main objective when investing in Securities?

a. Capital Protection (ex. I am mainly interested in keeping risk at its minimum while accepting low returns) ☐ **1**

b. Small Capital Gain or income (ex. I am interested in increasing my capital with the acceptance of some risk of capital loss) ☐ **2**

c. Large Capital Growth (ex. I am interested in high returns and accept a high level of risk on my investments) ☐ **3**

Q.3: What is your Investment Horizon? (How long are you willing to keep your money invested in Securities?)

a. Less than 1 Year ☐ **1**

b. 1 to 3 Years ☐ **2**

c. 3 Years of More ☐ **3**

Q.4: According to these examples of Securities Portfolio presenting risk/return profiles over a 1 Year period with an amount of SAR 10,000 (Ten Thousand), please choose a Portfolio that best suits your risk appetite.

a. Portfolio 1: Expected Capital Grown of SAR 300 (3%), with minimum risk. ☐ 1

b. Portfolio 2: Expected Growth of SAR 1000 (10%), with a possible loss of SAR 500 (-5%). ☐ 2

c. Portfolio 3: Expected Growth of SAR 5000 (50%), with a possible loss of SAR 4500 (-45%). ☐ 3

Q.5: What percentage of your personal Assets are you willing to invest in Securities?

a. Up to 10% ☐ 1

b. 10% to 50% ☐ 2

c. Over 50% ☐ 3

Q.6: What is the highest annual loss you had in your investments?

a. Up to 5% ☐ 1

b. Up to 10% ☐ 2

c. More than 10% ☐ 3

Q.7: How did you react towards this loss, mentioned in Q.6?

a. You redeemed your investments ☐ 1

b. You thought to redeem your investments, but you did not ☐ 2

c. You thought it was a normal thing to happen under market conditions and the type of the investments ☐ 3

Total Score/Risk Profile

Customer's Risk Profile as per above Score:

Total Score	Risk Profile
From 7 to 10	Low risk
From 11 to 15	Medium risk
From 16 to 21	High Risk

* Please refer next page for an explanation of the risk profiles

Explanation of the Risk Profiles:

A Low Risk: Investor dislikes risk and mainly looking for investments with the lowest possible risks in anticipation of low portfolio returns.

A Medium Risk: Investor is mainly seeking capital growth and expects moderate positive or negative portfolio returns.

A High Risk: Investor's main goal is to maximize portfolio returns while accepting high levels of volatility. A high risk investor could expect significant positive returns on their portfolio but could also encounter significant capital losses.

Please note that this questionnaire is for information purposes only and reflects your risk appetite at the date you have completed the questionnaire. Your risk profile may evolve according to your situation (Age, Family Situation, Market views, .etc) you are kindly requested to read, and understand the terms and conditions before investing through Saudi Fransi Capital. Saudi Fransi Capital will not be held responsible and accountable for any current or future losses that may result from your investments regardless of what may be the outcome of the risk profile.